Ministry of Defense Rwanda Peace Academy







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PROJECT DOCUMENT

Project Title: Strengthening the Capacities of the Rwanda Peace Academy.

Project Number: 00126819

Start Date: 01/04/2020 - End Date: 31/03/2021

LPAC Meeting date: 24 June 2020

Executing Entity: United Nations Development Programme

Implementing Agencies: Ministry of Defence/Rwanda Peace Academy

Brief Description

The project "Strengthening the Capacities of the Rwanda Peace Academy" is in line with the National Strategy for Transformation (NST) focus on transformational governance as a key priority to achieve social and economic transformation by 2024. The Project document is developed in close collaboration with the Ministry of Defence and the Rwanda Peace Academy (RPA). This project attempts to address the prevailing capacity gaps in conflict prevention, conflict management and peacebuilding in Africa as outlined in the Rwanda Peace Acadeny Strategic Plan (2016-2021) and experience learned from the implementation of previous projects supported by the Government of Japan and the United Nations Development Programme. The expected results are in line with the National Strategy for Transformation (NST1), the Sustainable Development Goals, Especially Goal 16 and Goal 5, as well as the African Agenda 2063.

The overall objective of the project is to strengthen the capacities of the Rwanda Peace Academy to become a center of excellence in peacekeeping training within the region by among other others improving its training and financial sustainability capacities.

Capacity building in peacebuilding and peacekeeping will go a long way in addressing capacity gaps in peacebuilding and conflict management and will in the long run contribute to the attainment of sustainable peace in the region.

The project will further enhance the financial sustainability of the Rwanda Peace Academy and reduce continuous dependency on external partners. This will be achieved through the development of tailored curriculum for the training at the Rwanda Peace Academy as well as the promotion of peer learning and exchange with other peacekeeping and peacebuilding training centers in Africa.

Lastly, the project will support peer learning exchange with sister peacebuilding training institutions from the African continent, for experience sharing, networking and increased capacity that will be key for RPA financial sustainability. Networking, experience sharing and exchange aims at developing the capacity of RPA personnel in curricula and training development and management as well as financial sustainability of RPA.

Contributing UNDAP/CPD Outcome 5: By 2023, people in Rwanda benefit from enhanced gender equality, justice, human rights, peace and security

Indicative Output(s):

- Output 1: Capacities of regional and national actors in peace support operations enhanced
- Output 2: RPA's research and and resource mobilizations capacities enhanced

Total resources required: 326,000 USD

Total available: 326,000 USD

Agreed by (signatures):

National Coordinating Authorities

Name: Hon Dr. Uzziel Ndagijimana

Title: Minister

Institution: Ministry of Finance and Economic

Planning

Signature:

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LIST OF ABBREVIATIONS AND ACRONYMS

APSTA

: African Peace Support Trainers Association

ASF

: African Standby Force

ASSET

: Association of Security Sector Reform Education and Training

ΑU

: African Union

CPD

: Country Programme Docuement

EAC

: East African Community

EASF .

: Eastern Africa Standby Force

GoJ

: Government of Japan

GoR

: Government of Rwanda

HACT

: Harmonised Approach to Cash Transfer

HIV/AIDS

: Human Immunodeficiency Virus/Acquired Immune Deficiency Syndrome

IAPTC

: International Association of Peacekeeping Training Centres

IT

: Information Technology

MDGs

: Millennium Development Goals

MINADEF

: Ministry of Defence

MINECOFIN

: Ministry of Finance and Economic Planning

NIM

: National Implementation Modality

NST

: National Strategy for Transformation

PR

: Public Relations

PSO

: Peace Support Operations

RPA

: Rwanda Peace Academy

RRF

: Results and Resources Framework

SBAA

: Standard Basic Assistance Agreement

SDGs

: Sustainable Development Goals

TOR

: Terms of Reference

UN

: United Nations

UNDAP:

:United Nations Development Assistance Plan

UNDP

: United Nations Development Programme

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I. DEVELOPMENT CHALLENGE

1 SITUATIONAL ANALYSIS

The Rwanda Peace Academy (RPA) is a regional peacekeeping training and research institution. The establishment of the RPA was informed by the need to deliver training that focuses on practical elements of peacekeeping and peacebuilding and that uses real world situations and scenarios drawn from current peace support operations. More importantly, it was also informed by Rwanda's active and significant contribution to regional and international peacekeeping. Rwanda is the second largest African Troop and Police Contributing Country to the UN peacekeeping operations and the 4th largest globally. The RPA equips the military, police and civilian personnel mainly from the Eastern Africa Standby Force (EASF)1 region with the competences and knowledge required to meet Africa's present and future complex peace and security challenges. In so doing, the RPA contributes to the full operationalization of the EASF and consequently, the African Standby Force (ASF). In particular, the Academy contributes to the enhancement of national, sub regional and regional capacity for conflict prevention, management, resolution and peace building. The RPA is the only peacekeeping training centre in Rwanda that trains the military, police and civilians.

Currently, the RPA is recognized by the EASF as one of its regional peacekeeping training Centres. The RPA is a member of the International Association of Peacekeeping Training Centres (IAPTC) as well as the African Peace Support Trainers Association (APSTA). The East African Community (EAC) has designated the RPA as the peacekeeping training institution charged with the training of personnel from corrections/prison services of the EAC Partner States in the area of peace support operations.

The RPA was established as a project in 2008 with financial support from the Government of Japan (GoJ), the United Nations Development Programme (UNDP) and the Government of Rwanda (GoR). The initial financial support was used for construction of the training facility and purchase of furniture and IT equipment; institutional development; capacity building of military, police and civilians in conflict prevention, management, resolution, peacebuilding and public relations. In July 2015, theGoJ and the UNDP provided additional support for strengthening the capacities of RPA through training of national and regional security sector actors; development of research capacity of the RPA through recruitment of a Senior Researcher and Strategic Advisor; and the development of a five-year strategic plan for the RPA. The project support was also used to procure a mini – bus to facilitate transport of trainers and participants. Although the RPA was established largely with financial support from the GoJ, UNDP and the GoR, the Academy has few other partners that support its work but most of them offer short-term support which has limited impact in building sustainable peace as aimed for with SDG16. While Africa and the World at large is focusing on further promoting sustainable development, conflict, wars and insecurity have been one of the major threats causing major setbacks towards achieving the global goals. It is therefore imperative to strengthen peace-building and peacekeeping capacities as the foundation for sustainable development. It is against this backgrownd, that the RPA focuses on EASF Region, both in conflict prevention, conflict management, resolution and peacebuilding areas.

¹The EASF member states are; Burundi, Comoros, Djibouti, Ethiopia, Kenya, Rwanda, Seychelles, Somalia, and Uganda.

A total of 3,180 personnel (1,947 military officers, 380 police officers, 817 civilian officers and 36 Prisons/Corrections Officers) have received training at the RPA as of today. The RPA has also hosted 2 regional conference on security with a total of 100 participants, and six regional workshops on curriculum development with a total of 145 participants. Gender equality and particularly women empowerment in the area of peacebuilding as well as promotion of gender parity was given priority during the training of participants. In the second (2015/2016) RPA project for example, the average women participation was 40%. This was higher than the planned target of 30%. In addition to training, the RPA conducted some applied researches.

In 2016, a five – year strategic plan (2016 – 2021) for the RPA was developed and shared with the various stakeholders and partners. The plan identifies five strategic areas that need urgent action:

1) institutional and capacity development of the RPA; 2) strengthening and delivery of training programmes; 3) research development; 4) mainstreaming of cross cutting issues especially gender, human rights and environment; and 5) public relations and networking.

Due to limited funding, most of the set targets are lagging behind and affecting the performance of partner institutions and beneficiaries towards peacebuilding in and outside Rwanda. There is therefore an urgent need to accelerate the implementation of the plan before the set timeline elapses. Fast tracking its implementation will require both financial and technical resources.

The Project will therefore contribute to the implementation of the RPA Strategic Plan and in particular, the training and research components in peacebuilding and conflict prevention. Considering the persistent challenge for financial sustainability and continued donor dependancy, this project will further build capacities of the Rwanda Peace Academy to assess the current financial situation and devise strategies to address the challenges and expand on the current funding scheme. This is crucial as the Academy is increasingly receiving a big number of requests for training in peacebuilding and peace support operations. This demand should match with resources and should also contribute to generating income for the RPA. This is particularly so with countries that are facing challenges in addressing emerging threats and crimes such as terrorism and human trafficking. In addition to the trainings, RPA's research work will enhance and deepen the understanding of the emerging threats to peace and security as well as appropriate strategies to address them.

In addition, the evolving situation in the region creates an environment requiring capacity of security organs and civilian personnel in conflict prevention and sustainable peace. The current project responds to the priorities expressed by the Sustainable Development Goals particularly under SDG16 on peace and justice as well as Rwanda's current National Strategy for Transformation (NST) which considers peacebuilding as a key requirement for economic transformation. The project also contributes to SDG 5 on gender and women empowerment. While previous project increased significantly the participation of females in the training sessions, the participation of women is still behind compared to that of men (42% against 58%). Despite the increased participation of women in peace support operations and in peacebuilding, their role in peacebuilding is not well documented and this restricts decision makers from coming up with appropriate strategies to address gender inequalities in peacebuilding and peace support operations. The project will build on the gains of the previous projects to further support the implementation the gender equality strategy of the Rwanda Peace Academy.

The existing library has few books and this limitation hampers the research efforts by trainees, Itrainers, researchers and stakeholders. In addition, the Academy receives external researchers and interns majority of whom are interested in researching on peacekeeping as well as peace, security

and conflict studies. While the RPA has an e-library, there are good newly published books which cannot be accessed electronically but which would enhance the research capacity of the Rwanda Peace Academy hence the need to procure them.

As a training and research centre, the RPA collaborates with some international and humanitarian agencies such as UNHCR, UN Women and some international NGOs in the area of capacity building. Some of the agencies are also invited to share their experiences and best practices during training sessions at the RPA. However, the exchange on best practices in peace and security is still limited. The RPA will widen its scope of collaboration to include institutions with similar mandates in order to provide a forum for leaning, documenting replicable best practices and forming strategic alliances in the area of conflict prevention, conflict management, conflict resolution and peace building.

1.2 LESSONS LEARNED FOM THE PREVIOUS PROJECT

There are some key lessons learnt from the previous projects that were also financed by the Government of Japan (GoJ) and UNDP. First, a realistic and achievable work plan is crucial for successful implementation of a project. Second, periodic monitoring, evaluation and reporting is key to successful implementation of a project. Third, collaboration and synergy among stakeholders is an important factor in any project implementation. To lay the ground for financial sustainability, the building of the capacities of the RPA staff and further use of available expertise in the Ministry of Defence, the Rwanda National Police and civilians in training and research would support the organization in its efforts to respond quickly to the needs without over-relying on external expertise which is expensive to the organization. Although the previous projects were successfully implemented, the RPA still has some capacity gaps in terms of training, research, policy development, and logistical support..

1.3 PROJECT RATIONALE

The Government of Rwanda (GoR) is fully committed to matters of national, regional and international peace and security. The establishment of the RPA was largely informed by the fact that Rwanda offers a rich background of experiences to draw from in the area of post conflict recovery and peacebuilding including practical case studies of homegrown solutions; the fact that Rwanda is an active contributor to regional and international peacekeeping; as well as the realization that peace and security is a prerequisite for sustainable development. One of the ways through which the GoR can contribute to national, regional and international peace and security is through the RPA.

Rwanda's commitment is in line with the UN's support for Africa finding solutions to its problems. The project is also in line with the UNDP commitment to mainstreaming conflict sensitivity throughout its programmes and ensuring that development resources are used to reduce the likelihood of the impact of conflict on development efforts. Furthermore, the project contributes to the country's efforts to achieve the Sustainable Development Goals (SDGs) in which good governance element is particularly put into consideration under SDG Goal 16. The objective of good governance in Rwanda includes the maintenance of peace and security through participation in peacekeeping, fighting injustices, promoting the rule of law, fighting all sorts of crimes and promotion of unity and reconciliation to mention but few examples.

The RPA is making a significant contribution to the enhancement of the EASF capacity through capacity development and training. The participants trained at the RPA have made important contribution in the various peacekeeping missions where they have been deployed. However, the RPA is a young institution with a number of capacity gaps. The project will therefore enable the RPA to continue making a significant contribution in enhancing the capacity of the EASF as well as the national capacity to manage and prevent conflicts while building sustainable peace. It will also address current capacity gaps in the area of training and research as well in building partnerships and knowledge management. In addition, the project will build institutional capacities for financial sustainability and internal capacities to respond to the training and research needs. In so doing, the project will contribute to enhanced national and regional capacity for conflict prevention, conflict management, conflict resolution and peace building. This will in turn contribute to sustainable peace, security and development in Rwanda and in the region.

II. STRATEGY

The proposed project responds to the aforementioned development challenges and incorporates lessons learned from the previous support to the Rwanda Peace Academy (RPA). It also responds to the priorities of the country as outlined in Rwanda's Development Vision 2020, the National Strategy for Transformation (NST), Agenda 2030 for sustainable development, and the African Union agenda 2063. The project builds on the recommendations from the previous support to RPA, including the feedback from participants from all training courses that have been conducted. The project will contribute to the African Union Agenda 2063 and its First 10-Year Implementation Plan 2014-2023 which is dedicated to the building of an integrated, prosperous and peaceful Africa, driven by its own citizens and representing a dynamic force in the international arena as emphasized in the country's National Strategy for Transformation (NST) which amongst its priorities has regional integration and democratic governance and peace and security a engines for sustainable economic transformation. The project will further contribute to the recently unveiled New Approach for Peace and Stability in Africa (NAPSA) by the Government of Japan at the TICAD7, through capacity building of future peace operations personnel in peace building and conflict prevention, and through strengthening the ownership and financial sustainability of the Rwanda Peace Academy.

The project is expected to contribute to the achievement of the following strategic objectives:

• Strengthened effective, inclusive and accountable governance (UNDP Strategic Plan Signature solution 2)

NST Priority Area 2: Ensure Safety and Security of Citizens and Property

 By 2023, people in Rwanda benefit from enhanced gender equality, justice, human rights, peace and security (Outcome 5 of UNDAP II, 2018-2023)

 NURC, RNP and CSOs have strengthened capacity to develop and implement evidencebased mechanisms and programmes that promote social cohesion, peace, safety and security (UNDP CPD 2018-2023, Output 3.2).

Overall, the intervention is designed to pursue strategic initiatives to strengthen the ability of RPA to continue its efforts to provide the region with trained security and civilian personnel who are readily available to be deployed in peace support missions.

The project builds its strategy around the priorities set in the National Strategy for Transformation and the UNDP Country programme which emphasize on "strengthened capacity to develop and implement evidence-based mechanisms and programmes that promote social cohesion, peace, safety and security" with the ultimate aim to enhance the capacities of RPA in the areas of conflict prevention, management, resolution and peacebuilding. Efforts will be made to prepare a

cohort of potential peace support personnel that would be deployed in conflicts prone areas in the region while reinforcing the capacities of RPA as a regional resource center on peace and security.

The project will consider the programming principles particularly human rights and gender equality, sustainability, resilience and accountability. Throughout training and research, RPA will ensure that human rights and fundamental freedoms are respected while the most vulnerable get dedicated attention.

In order for the RPA to make effective contribution and fulfil its mandate, it requires technical and financial support to enable it to contribute to the capacity building of the military, police and civilian personnel from the EASF member states.

The overall objective of the project is to strengthen the capacities of the Rwanda Peace Academy to become a center of excellence in peace keeping training within the region by among other others improving its training and financial sustainability capacities. The specific objective is to strengthen the training and research capacities of the Rwanda Peace Academy so that it can provide high quality training in peace building as well as in conflict prevention and management. The project will further enhance the financial sustainability of the Rwanda Peace Academy and reduce continuous dependency on external partners. This will be achieved through the development of tailored curriculum for the training at the Rwanda Peace Academy as well as the promotion of peer learning and exchange with other peacekeeping and peacebuilding training centers in Africa. The project will also put in place strong monitoring systems to monitor the implementation of the project activities, share experience with other stakeholders and document best practices.

This strategy will be implemented through the following outputs and activities to achieve the expected results:

Output 1: Capacities of regional and national actors in peace support operations enhanced

Activity 1:Develop a new course curriculum for the Rwanda Peace Academy

Activity 2:Conduct regional training in peace support operations (SGBV, POC, DDR, RoL)

Activity 3: Conduct regional training in peace support operations (UN Staff Offiers Course)

Under this output, RPA will conduct a series of peace support operations courses targeting regional participants from EASF countries. The project will support the organization of four training courses each lasting one-week on the following themes:

- a. Combatting Sexual and Gender Based Violence (SGBV)
- b. Protection of Civilians (POC)
- c. Disarmament, Demobilization and Reintegration Basic Course
- d. Rule of Law.

The project will further support a two-week regional training on UN Staff Officers Course.

The proposed five courses will enhance the capacities of participating countries in peace support operations since the trained personnel will be ready for deployment to peace support missions. Twenty five (25) participants per course will receive training. A target of 125 participants (military, police and civilians/correctional services) will be trained. Out of this targeted number, 40 per cent will be females.

Output 2: RPA's research and resource mobilizations capacities enhanced

Activity 1: Conduct a review of the financial status of RPA and develop a financial sustainability plan for RPA

Activity 2: Organise partnership and resource mobilization events

Activity 3: Organize peer learning and exchange with peace training centers in Africa

Under this output, the project will support peer learning exchange with sister peacekeeping and peacebuilding training institutions from the African continent, for experience sharing, networking and increased capacity that will be key for RPA financial sustainability. This will be followed by a financial status assessment of RPA and financial sustainability plan for the Rwanda Peace Academy.

The exchange aims at developing the capacity of RPA personnel in curricula and training development and management as well as financial sustainability of RPA. Two training centers have been identified: (1) Koffi Annan International Peacekeeping Training Centre (KAIPTC) in Ghana, and (2) International Peace Support Training Centre (IPSTC) in Kenya. The choice of both institutions was based on their long experience in peace support operations and peace building training. RPA will also further assess their financial and funding situation to develop new partnership schemes and resource generation and mobilization strategies.

2.1 The Theory of Change

The project theory of change is based on the assumption that the three-pronged investments in (a) strengthening the institutional capacity of the Rwanda Peace Academy to provide quality training to civilian and security organs personnel in peacebuilding and conflict management and prevention as a way to increase the number of personnel ready to deploy to peace support operations, (b) enhance financial sustainability of RPA to sustain the gains and expand on peacebuilding empowerment services (c) enhance the research capacity of RPA to ensure access to useful resources on peacebuilding and conflict prevention and management, and (d) creating a forum for exchange / experience sharing on peace and security. These interventions will contribute to the following results:

- To increase the number of peace support personnel ready for deployment to peace support
 operations, the project will invest in training security and civilian personnel from the region on
 conflict prevention, conflict management and peacebuilding while ensuring an increased number of
 women participants.
- By applying the SDG principle of leaving no one behind, the project will ensure that programming
 principles mainly human rights and gender equality, sustainability, resilience and accountability will
 be promoted and mainstreamed to promote decent living conditions for all particularly in peace
 keeping set up.
- To enhance financial and income generation capacities of RPA for them to fast track the implementation of the strategic plan and expand on peacekeeping and peacebuilding activities at the national and regional levels;
- At policy level, the project will support the implementation of preparation of a gender strategy for the Rwanda Peace Academy to guide gender mainstreaming in the work of RPA and ensure more women participation in training in peacekeeping and peacebuilding as well as more women participation in peace support operations.

2.2. Applying UN Programming principles

✓ Leaving No One Behind

In line with the Sustainable Development Goals agenda, UNDP's project has been designed with a view to ensure that no one is left behind, including women and persons with disabilities. Efforts will be made to address any potential factors that will hinder the participation women and disabilities in the training that will be conducted. The courses will be designed to also mainstream gender and disability.

✓ Human Rights, Gender Equality and Women's Empowerment

The Project is implemented following national laws and systems as well as international standards including the SDGs and international human rights commitments. SDGs 5,10 and 16 are among the key areas of support: ensuring that gender considerations are taken into account in all development processes and gender equality, human rights, peace and conflict prevention are the expected results from the project. In line with the implementation of internationally agreed policy frameworks or conventions, including the Beijing Platform for Action and the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW), the Project will ensure that gender equality concerns are fully and consistently reflected in the Project strategy, and inequalities are adequately addressed through clearly defined activities that address underlying and root causes of gender inequalities.

✓ Sustainability and Resilience

The project will strengthen national capacities to contribute to the implementation and monitoring of the Agenda 2030 with particular focus on SDGs 16:Peace, Justice and Strong Institutions and SDG5: Achieve gender equality and empower all women and girls. End poverty in all its forms everywhere. Experience shows that achieving these goals will contribute to increasing the resilience of societies and the creation of a robust platform for lasting peace and development. Furthermore, the project will strengthen the capacities of national institutions as a foundation of resilience while ensuring that the Project gains are sustainable and environmentally friendly.

✓ Accountability

The Project is aligned with national priorities as defined in the National Strategy for Transformation and Vision 2020 and Vision 2050. Through research and assessment, the Project will support the development and use of transparent and robust data and information that could inform strategic choices by policy makers.

III. RESULTS AND PARTNERSHIPS

3.1. Expected Results

At outcome level, the Project is expected to contribute to the achievement of the following: By 2023, people in Rwanda benefit from enhanced gender equality, justice, human rights, peace and security.

It is designed around achieving the following two outputs:

✓ Output 1: Capacities of regional and national actors in peace support operations enhanced.

Under this output, RPA will conduct a series of peace support operations courses targeting regional participants from EASF countries. The project will further support a two-week regional training on UN Staff Officers Course. The proposed five courses will enhance the capacities of participating countries in peace support operations for a target of at least 125 (40% women) newly trained officers from military, police, corrections and civilian components who are ready for deployment to peace support missions.

✓ Output 2: RPA's research and resource mobilizations capacities enhanced.

The project will strengthen RPA's research capacity through equipping the academy with updated books on conflict prevention, conflict managent and peacebuilding. Under this output, the project will also support peer learning exchange with sister peacekeeping and peacebuilding training institutions from the African continent, for experience sharing, networking and increased capacity that will be key for RPA financial sustainability. This will be followed by a financial status assessment of RPA and financial sustainability plan for the Rwanda Peace Academy.

Key changes expected at the end of the project:

- At the end of the Project, a number of key development changes should be visible. First, the East African region will gain 125 trained people in peace building and peace keeping who will further promote sustainable peace at national and regional levels.
- RPA will increase its research capacities for the trainees, trainers, researchers and stakeholders through a well equipped library and digital resources.
- RPA will reduce donor dependency and increase its resource mobilization and partnership capacities.
- RPA will improve further its management, communication and partnership capacities thanks to continued partnership and technical support from Japan and UNDP.

3.2. Resources Required to Achieve the Expected Results

The implementation of this projectwill require financial resources and technical expertise to achieve the expected results. The total envelope required is estimated at **326,000 US dollars**which will be provided by the Government of Japan (**296,000 USD**) and UNDP Rwanda Core Resources (**30,000 USD**). The project will be implemented by a technical team from the Rwanda Peace Academy and the Ministry of Defence and with the support from UNDP under the National Implementation Modality. The Implementing partner will assign project focal point to manage the project on a daily basis. These measures will ensure that the Project benefits from the internal financial and technical resources of the Implementing Partner which will promote ownership of the project. At UNDP level, the Project analyst for Access to Justice, Human Rights and Peace Consolidation will act as the Project manager of the Project, supported by the project associate, under the overall supervision of the governance team leader and head of unit. Other UNDP staff will also contribute to the achievement of Project results; these include the Projectmanagement support staff, operations and communication staff. The Project will also take opportunity of global UNDP network of experts.

3.3. Partnerships

The new project will build on the already existing partnerships with the Government of Rwanda, in particular with the Ministry of Defense, RPA, the Government of Japan and the United Nations

Development programme. Beyong the scope of the ongoing project, this new projects seeks to strengthen collaboration with centers with similar mandates mainly KAIPTC in Ghana and IPSTC in Kenya which RPA has been working with through the regional umbrella organization for peace keeping training centers and other global forum they have been part of. The project will facilitate exchange visits to these two centers to peer to peer learning and to foster stronger collaboration. The primary partners are MINADEF and RPA. While MINADEF will ensure the oversight of the project, the RPA will ensure the day to day implementation, monitoring and reporting of the project results.

In terms of the Project's relationship with other development partners, UNDP aims to foster a collaborative and open approach, working together with the development partners to resolve substantive challenges and ensure synergy with other (UN and non-UN) projects and programmes, cognisant of the respective roles and comparative advantages of each in pursuit of common goals. General principles for partnership will include information-sharing, cooperation, coordination, and consultation, strengthening national capacities to work with other donors/development partners, as well as joint planning, monitoring and evaluation wherever possible.

Building on UNDP extensive expertise and network and through the Global Policy Network (GPN), the project will leverage the technical expertise from the Regional Service Center for Africa (RCSA) and UNDP Headquarters for effective implementation of project activities.

Key partners in the family in particular UN Women, UNITAR and UNHCR will remain close partners of UNDP in the implementation of this Project. As Rwanda is a Delivering as One (DaO) country, the Project will be implemented as part of the One UN Joint Work Plans and will report to Development Results Group 3 of the UNDAP. The project is also expected to bolster on-going UNDP interventions and develop synergies with the other components of the Transformational Governance Portfolio and other UN interventions which contributes to the same UNDAP Outcome as this project.

3.4. Stakeholder Engagement

This project is built on the feedback from RPA stakeholders in particular participants to various training courses that have been conducted by RPA in recent years. The project is also built on the priorities from the National Strategy for Transformation, a national framework that has been designed after wide consultations at all levels of the society.

Throughout the implementation of the project, regular feedback will be sought from key stakeholders in the Project, especially trainees, researchers and stakeholders. The various training sessions and study visits will open doors for further consultations with stakeholders and that will inform the implementation of the project. The Project will also use the joint field visits and regular monitoring visits to gather feedback from the stakeholders.

3.5. Risks and Assumptions

The project assumes that the Government of Rwanda will remain committed to supporting the project and that participating countries send qualified personnel and take into account gender considerations. It is also assumed that there will be no fundamental changes in either the internal or external environment that could negatively influence this prioritisation and commitment. Based on the Risk Log, the Projectcould face political, institutional, financial and operational risks that might impact on the delivery of results. Key risks include:

 Limited resources allocated to the project compared to the need in training future peace operation personnel;

- Fluctuation of prices on the market could affect the budget planning and affect the achievement of results.
- The impact of the Covid 19 pandemic includding on international travels. Because of this risk, initial focus in capacity building may be on the training of Rwandan nationals which will increase the number of national beneficiaries and enhance peacekeeping capacities at the national level.

The project will monitor and manage risks on a regular basis. The risk log will be updated as appropriate and at least on a quarterly basis and included in quarterly reports. In addition, the Project Board will address the project risks and follow up on relevant actions as recommended during its meetings.

3.6. South-South and Triangular Cooperation (SSC/TrC)

There is immense potential for UNDP and RPA to engage with other stakeholders and implementing partners on SSC and TrC, particularly with institutions in countries which may interest and/or experience working on issues relating to peace and security, violence against women and girls, as well as in conflict management. The fact that the project will involve many countries offer an opportunity for networking and experience exchange between MINADEF, RPA and participating Countries. This project implementation foresee exchange visits with KAIPTC in Ghana and IPSTC in Kenya which are peacekeeping training centers with similar mandates and located on the same continent with the view of sharing experience and identifying good practices which could be replicated. RPA is particularly interested in learning from sister training centers on financial sustainability and partnership strategies which could help them address the challenges they are facing. The visits could also open ways for future exchange of expertise and continuous collaboration.

3.7. Knowledge and Innovation

The project is primarily envisioned to facilitate capacity building for security personnel and civilians in peacebuilding and conflict management. This knowledge transfer is key for future peacekeeping personnel, whether in peace support operations or in home settings. To address the issues of limited availability of consistent data in the sector of peacebuilding to inform policy making and actions, the project intends to carry out an assessment on the role of women in peacebuilding whose findings could stimulate policy discussion. The availed data will inform development plans and strategies. The assessment will also provide a platform for information sharing and the identifications of best practices that could be replicated in other settings.

3.8. Sustainability and Scaling Up

The project will be implemented under National Implementation Modality (NIM) and will use national systems including procurement and financial management rules and regulations. To the greatest extent possible, change processes themselves will be institutionalised to ensure their sustainability long beyond the life of the Project, and will be linked together to enable cross-institutional learning and boost resilience to fluctuations within individual institutional environments.

Genuine national ownership of the development process is necessary to ensure sustainability and build systemic resilience. Particular focus on financial sustainability will be ensured including a number of partnership and resource mobilization activities. This will allow RPA to improve its income generation capacities while expanding the partnership network for more technical and financial resources. It is assumed that the project will be able to garner sufficient national ownership to ensure that all interventions are fully internalised by national counterparts.UNDP is committed to

promoting national ownership at every step and supporting national actors and stakeholders in adopting only applicable and sustainable models.

The project is closely aligned with the Government of Rwanda's national development goals and strategies, including Vision 2020 and Vision 2050, and the National Strategy for Transformation (2017-2024). By doing so, the Project aims to ensure proper buy-in and support at every level, with a view to maximising impact and sustainability of the different activities and interventions.

Investing in developing the skills and knowledge of people is an inherently sustainable approach, provided it is appropriately targeted and well-delivered. The training of staff aims at continuously improving the levels of their skills and knowledge, and the benefits of investment in training efforts are never lost, as beneficiaries absorb the knowledge they have acquired and apply it to their work situations.

There are several ways in which the Project aims to contribute to the sustainability of its capacity development interventions, by focussing on developing the institutional and individual capacities in a manner that empowers the targeted counterparts with increased professional skills and improved systems and strategies. The formulation of a gender strategy for RPA is in this line.

IV. PROJECTMANAGEMENT

4.1 Cost Efficiency and Effectiveness

The Project will be executed in line with the National Implementation Modality (NIM), with all project outputs implemented by the Rwanda Peace Academy. Only quality assurance activities will be implemented by UNDP. In particular, the project will follow the NIM modality with the Ministry of Defense as Implementing Partner and Chair of the Project Board (Steering Committee). UNDP will Co-chair the Project Board (steering committee). As implementing partner, MINADEF will also be responsible for the overall implementation of the pogramme, ensuring that the work plans and day to day activities are implemented in accordance with the Project document resource and results framework and budget. The Project Board will also promote collaboration and synergy among stakeholders for cost efficiency and effectiveness. The Implementing partner and UNDP technical teams will prepare the work plans which will be reviewed and endorsed by the project board.

The Implementing Partner will ensure that essential staff are appointed for adequate project implementation, coordination and supervision, and that a focal person with liaison responsibilities is selected to represent the Implementing Partner whenever requested by UNDP. The project management and oversight will be implemented directly by UNDP as part of its oversight and quality assurance role. However, the work plans for all three outputs will be approved by the joint Project boards.

4.2. Project Management

The project will be national in scope and implemented based on the decisions of the project board. The Implementing partner will provide working space and equipment for their Project teams. Reporting will follow the UNDP regulations and standards and in particular the Harmonized Approach to Cash Transfer (HACT). The implementing partner will produce quarterly progress reports (financial and narrative) for UNDP in order to enable the budgeting and transfer of funds. The timeline for submitting financial and progress reports will be the 15th following the end of each quarter.

The Funds disbursement will follow UNDP NIM modality procedures, in particular the Harmonised Approach to Cash Transfer (HACT). UNDP will transfer the requested funds in local currency and in line with the approved work plans and project document. A ceiling of 80% delivery on previous advance supported with valid financial and narrative report will be required for the new request for funding to be processed. A separate bank account will be opened for the project.

The coordination of this project's activities and outputs will be ensured through the Project Board and regular coordination mechanisms by project teams from UNDP and RPA. The Project will be subjected to the internal and external auditing procedures provided for in the financial regulations, rules and directives of UNDP. An independent audit will be commissioned by UNDP to review the project accounts and financial statements and provide an independent opinion.

The UNDP based Project manager will be responsible for technical and advisory services in this area of practice, overall quality assurance and supervision of the Project implementation. He/she will be responsible for coordination of the Project, planning, monitoring and evaluation as required. He/she will also be responsible for building the capacities of implementing partner teams in Project management principles. Moreover, he/she will ensure that the Project achieves the highest quality standards in terms of quality and value for money. S/he will assist in identifying potential areas for resource mobilisation and promote communication and information sharing, engaging UNDP and IPs communication teams to communicate the Project results.

As per UNDP guidelines on Direct Project Costing (DPC), the UNDP Project staff (Program analyst and Program Associate) contribution to the implementation of the Project will be directly charged to the Project, based on the annual Country Office Workload Study. The Implementation Support Services will also be directly charged to the Project budget as per UNDP financial rules and regulations. For funds from sources other than UNDP Core resources, an 8% general management services (GMS) fee will be charged on expenditures as per UNDP global cost recovery policy. UN coordination levy (1%) will be applied as per the established rules.

For any balance financed by Japan at the end of the project, the country office shall consult with the local Embassy of Japan (EoJ) on its use. The interest income generated by Japanese funding will be treated in accordance with the Japan-UNDP agreement on Arrangement for the Interest Income derived from Japan-UNDP Partnership Fund. A final narrative and preliminary financial report will be prepared in a manner to correspond to the activities in the Result Framework (based on specific indicators and measurable targets) and be submitted to the local EoJ within three months after the project completion date. A progress report would be submitted to the local EoJ if it is requested and/or necessary (e.g. in the case for no-cost extension). A final financial report will be prepared and submitted to the local EoJ within a year after the project completion date. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.

4.3 Procurement

In principle, Government procurement procedures will be used. To pave the way for this, the implementing partner will integrate the programme activities in their 2020/2021 revised activity and procurement plans. It should be noted, therefore, that UN procurement methods shall only be used as a last resort and on official request by the Implementing Partner.

4.4 Asset Management

All assets and equipment acquired to support the implementation of this project will remain the property of the United Nations Development programme for the duration of the initiative, as per UN

Policy on assets management and in accordance with the agreement between UN until they are officially transferred to the Government. The Government will take necessary measures to ensure that all the assets and equipment transferred be maintained and used properly and effectively after the project period. The approved asset inventory shall be shared with UNDP at the end of the Project. The decision to officially transfer these assets to the Government will be taken by the Project Steering Committee. The Implementing Partners are therefore required to keep an updated inventory of all assets purchased in the framework of the project.

4.5 Visibility of Japan

The Rwanda Peace Academy and UNDP will develop communication materials to publicise the project results and the work of Rwanda Peace Academy in general. Various communication tools will be used including print, broadcasted media and social media.

All reports and other communications materials (banners, leaflets, presentations, etc.) will bear both the Japanese national flag with its logo "From the People of Japan", and UNDP logo. The official logo of Japan will also be displayed on supplies procured with Japan's funding and publications produced under the project. The RPA will use media and other communications and public relations outlets to ensure visibility of the programme results as well as their sponsors through both visual and audio channels of communication. All the information will also be hosted on the RPA Website. Regular and timely use of social media mentioning Japan's involvement will be used by RPA and UNDP. The RPA and UNDP will involve officials of the Embassy of Japan in major events organized in the framework of the project including workshops and site visits to the projects.

RESULTS FRAMEWORK

>

Intended Outcome: By 2023, people in Rwanda benefit from enhanced gender equality, justice, human rights, peace and security Outcome indicators as stated in the Country Project Results and Resources Framework, including baseline and targets:

Indicator 3.2. Citizens trust in security organs Rwanda National Police (RNP)

Baseline (2016): 89.78%

Target: 94%

Citizens trust in security organs (RDF - added)

Baseline (2017): 99.1%

Target: 99.9%

Level of cohesion and mutual trust among Rwandans

Baseline (2017): 75.8%

Target: 85%

Applicable Output(s) from the UNDP Strategic Plan:

Output: Data and risk-informed development policies, plans, systems and financing incorporate integrated and gender-responsive solutions to reduce disaster risks, enable climate change adaptation and mitigation, and prevent risk of conflict.

Project title: Strengthening the Capacities of the Rwanda Peace Academy

| Expected ourtputs | <u> </u> | Output Indicators | Š. | | | Activities | | Proposed budget (USD) | Data Collection methods and risks |
|-------------------------|-------------|--------------------------|------------|-------------|-------------|---|---|-----------------------------|---|
| OUTPUT 1: Capacities of | • | 1.1:RPA | training | | curriculum | Activity 1: Develop a new | | 223,223 | Annual reports, |
| regional and national | | developed and validated | and valid | lated | | course curriculum for the | he | | training evaluation |
| actors in peace support | <u> </u> | Baseline: 1 (2019) | (5018) | | | Rwanda Peace Academy | *************************************** | | reports |
| operations enhanced | | Target: 2 (2021) | 2021) | | | Activity 2: Conduct | ਖ਼ੂ | | Training reports |
| | • | 1.2: Num | er of a | dditional | regional | 1.2: Number of additional regional regional training in peace | | | Risks: late |
| | ····· | actors with enhanced | 1 enhan | | in peace | skills in peace support operations (SGBV, | 3٧, | | submissions of |
| | | support operations | erations | | | POC, DDR, RoL) | *************************************** | | reports; lack of |
| | <u>></u> | Baseline: 2,918 | 2,918 | military, | police, | military, police, Activity 3: Conduct | | | disaggregated data |
| | | prisons/cor. | 'ectional | service, al | nd civilian | prisons/correctional service, and civilian regional training in peace | ace | | |
| - | | personnel trained (2019) | rained (20 | (610 | | support operations (UN Staff | aff | | |
| | > | Targets: | 3043 | military, | police, | police, Offiers Course) | | | |

| ************************************** | | - Principle of the second seco | | | | |
|--|---|--|--|--------|-------------------|--|
| | • > > | prisons/correctional service, and civilian personnel trained (2021) 1.3: Level of satisfaction of course participants with the quality of courses. Baseline: 95% Level of satisfaction of course participants with the quality of courses (2019) Targets: 97 % level of satisfaction of course participants with the quality of course (2021) | | | | |
| OUTPUT 2: RPA's | • | Financial sustainability assessment | Activity 1: Conduct an | | Financial | |
| research and resource | | E E | assessment of the financial | 39,000 | sustainability | |
| monitzations capacities | > | baseline: IVO financial sustainability | status of RPA and develop a | | assessment report | |
| ennanced | | assessment and plan available (2019) | financial sustainability plan | | and action plan | |
| | > | larget: Financial sustainability assessment | | | Annual reports | |
| | *************************************** | report and action plan available (2021) | | | | |
| | • | Number of partnership and resource | partnership and resource mobilization events | | Risks: Delayed | |
| | | , eu | Activity 3: Organize peer | | | |
| | > | Baseline: 0 | learning and exchange with | | | |
| | <u> </u> | | peace training centers in Africa | | | |
| | • | Number of peer learning visits | | | | |
| | > | Baseline: No visit per vear (2019) | | | | |
| | > | Target: 2 Exchange visits per year (2021) | | | | |
| | | | | | | |

VI. MONITORING AND EVALUATION²

In accordance with UNDP's programming policies and procedures, the Projectwill be monitored through the following monitoring plan:

Monitoring Plan

| Monitor of the | | | | Dartnere | Coet |
|--|--|--------------------|----------------------------|--------------|---|
| | Purpose | Frequency | Expected Action | (if joint) | (if any) |
| : | Progress data against the results indicators | Quarterly, or in | Slower than expected | UNDP, RPA, | N/A |
| Track results | in the RRF will be collected and analysed to | the frequency | progress will be addressed | MINADEF, EoJ | |
| progress | assess the progress of the Project in | required for each | by Project management, | | |
| | achieving the agreed outputs. | indicator, | 1 | | |
| | Identify specific risks that may threaten | | Risks are identified by | UNDP, RPA. | N/A |
| | achievement of intended results. Identify | · | Project management and | MINADEF, E0J | |
| | and monitor risk management actions using | | actions are taken to | | · |
| Monitor and | a risk log. This includes monitoring | | manage risk. The risk log | | |
| Manage Rick | measures and plans that may have been | Quarterly | is actively maintained to | | |
| | required as per UNDP's Social and | | keep track of identified | | |
| | Environmental Standards. Audits will be | | risks and actions taken. | | |
| | conducted in accordance with UNDP's audit | | | | |
| | policy to manage financial risk. | | | | |
| | Knowledge, good practices and lessons will | | Relevant lessons are | UNDP, RPA, | N/A |
| | be captured regularly, as well as actively | At lose and all | captured by the Project | MINADEF, EoJ | • |
| 3 | sourced from other projects and partners | אר ובמאר מוווומווא | team and used to inform | | |
| | and integrated back into the Project. | | management decisions. | | |
| | The quality of the project will be assessed | | Areas of strength and | UNDP, RPA, | N/A |
| Andreas Ducking | against UNDP's quality standards to identify | | weakness will be reviewed | MINADEF, EoJ | |
| Annual Project | project strengths and weaknesses and to | Annually | by project management | | |
| Cuality Assulance | inform management decision making to | | and used to inform | | ************ |
| - Anna Anna Anna Anna Anna Anna Anna Ann | improve the Project. | | decisions to improve | | *************************************** |
| * *** | | | Project performance. | | |
| Review and Make | Internal review of data and evidence from | Bi-annually | Performance data, risks, | UNDP, RPA, | |

Progress will be assessed during the annual ²² AS this is a one-year project, the evaluation is not required. review and continuous monitoring.

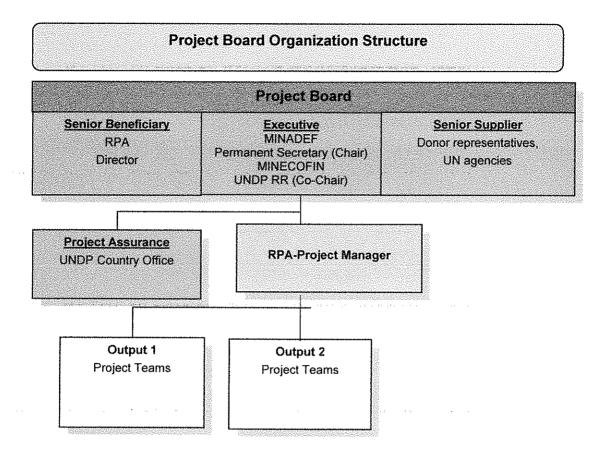
| Course Corrections | Course Corrections all monitoring actions to inform decision | | lessons and quality will be | MINADEF, EoJ | |
|--------------------|---|-------------|---|--------------|----------|
| | making, | | discussed by the project | | |
| | | | board and used to make | | |
| | A progress report will be presented to the | | | INDP. RPA | |
| | Project Board and key stakeholders, | | | LL. | |
| | consisting of progress data showing the | | | | |
| | results achieved against pre-defined annual | | | | |
| Project Report | targets at the output level, the annual | Annually | | | |
| | Project quality rating summary, an updated | | | - | |
| | risk log with mitigation measures, and any | | | | |
| | evaluation or review reports prepared over | | | | |
| | the period. | | | | |
| | The Project's governance mechanism will | | | UNDP, RPA. | 2.000 \$ |
| | hold regular project reviews to assess the | - | 110000000000000000000000000000000000000 | H. | + |
| | progress of the project, the Project Board | | Ciscuss ally quality | | |
| Project Review | shall hold an end of Project review to | | concerns of slower than | | |
| (Project Board) | capture lessons learned and discuss | bi-annually | experied progress and | | |
| ž | opportunities for scaling up and to socialize | . , | management adjust | | |
| | Project results and lessons learned with | - | וומוומלבווובוור מרנוסווא. | | , · |
| | relevant audiences. | | | | |

VII. PROJECT WORK PLAN

| EXPECTED | DI ANNED ACTIVITIES | Plan | ined 7 Qui | Planned Budget by Quarter | | RESPONSIBLE PARTY | | PLANNED BUDGET | |
|--|---|------|---------------|------------------------------|----------|----------------------|-------------------|--|---------|
| OUTPUTS | | 2 | 42 | රු | 49 | | Funding Source | Budget Description | Amount |
| Output 1: Capacities of regional and | Activity 1.1: Develop two new course curriculum for the Rwanda Peace Academy | × | × | × | <u> </u> | RPA/MINADEF | GoJ | Consultancy, printing, workshop | 40,000 |
| national actors in peace support operations enhanced | Activity 1.2 Conduct regional training in peace support operations (SGBV, POC, DDR, RoL) | × | × | | <u> </u> | RPA/MINADEF | (60) | Transport, accomodation, catering, consultancy, stationary | 145,149 |
| Gender marker: GEN2 | Activity 1.3 Conduct regional training in peace support operations (UN Staff Officers Course) | × | · × | | ~ | RPA/MINADEF | [09] | Transport, accomodation, catering, consultancy, stationary | 000'09 |
| | Sub-Total for Output 1 | | , | | | | | | 245,149 |
| Output 2: RPA's research and | Activity 2.1: Organize peer learning and exchange with peace training centers in Africa | × | × | | ~ | RPA/MINADEF | GoJ | Transport, DSA | 000'6 |
| resource mobilizations capacities enhanced | nent of RPA and financial | × | × | | α. | RPA/MINADEF | UNDP | Consultancy, Workshop, transport, Stationerary | 10,000 |
| Gender marker: GEN2 | Activity 2.3: Organize resource mobilization and partnership events | | | × × | | RPA/MINADEF | UNDP | Conference package, transport, stationery | 20,000 |
| | Sub-Total for Output 2 | | | | | | | | 39,000 |
| Project management and | Activity Result 3.1: communication of results | × | × | × | | RPA and UNDP | GoJ | | 5,000 |

| oversight | Activity 3.2: | project quality | | | | P1-19-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1- | | |
|---|----------------------------|--|----------|--------|-----|--|-----|---------|
| Strengthened | assurance, | project | | | | | | |
| | implementation | implementation and monitoring | × | × | × | UNDP | GoJ | 10,211 |
| | including direct | including direct project costing | | · | | | | |
| | and coordination | | | | | | | |
| | Activity Result | : 3.3: Conduct | | | ; | | | 000 c |
| | project audit/ spot checks | ot checks | | | × | בי מיי | (OD | 7,000 |
| | Sub-Total for | Sub-Total for Project management/oversight | nent/o | versig | ıht | | | 17,211 |
| Sub-Total for Output 1-2 and Project Management | 1-2 and Project | Management | | | | | | 301,360 |
| | Japan | | | | | | | 271,360 |
| | UNDP | | | | | | | 30,000 |
| General Management Services (GMS)- 8% of the total budget for Japan | rvices (GMS)- 8% | of the total budge | t for Ja | วลก | | | | 21,709 |
| Coordination Levy-1% for Japan Funding | ır Japan Funding | | | | | | | 2,931 |
| | Total for Japan | | | | | | | 296,000 |
| | Total for UNDP | | | | | | | 30,000 |
| FINAL TOTAL | | | | | | | | 326,000 |
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VIII. GOVERNANCE AND MANAGEMENT ARRANGEMENTS



The project will be directed by a **Project Board / Project Steering Committee**, which will be Chaired by the Permanent Secretary of MINADEF and co-chaired by the UNDP Rwanda Resident Representative, who will serve as the **Project Executive**. The Project Board will meet on a periodic basis (half-yearly or more frequent if needed) to review the strategic direction of the Project, ensuring accountability and proper oversight. The board meetings will also provide a forum for rigorous quality control and review of progress. This will entail setting and revising deliverables and achievement of benchmarks, alongside opportunities for fine-tuning and adjustments, including any prioritization of activities if the project is not fully funded. To ensure UNDP's ultimate accountability, the Project Board decisions should be made in accordance with corporate UNDP standards that shall ensure best value for money, fairness, integrity, transparency and effective accountability.

The Project Board will comprise the following:

The Executive: the role of the Executive will be held by the MINADEF Permanent Secretary and the UNDP Resident Representative. In its exective role, MINADEF will be supported by MINECOFIN as the government entity in charge of development cooperation hence they will be part of the project board. The Executive is ultimately responsible for the Project, assisted by the Senior Beneficiary and Senior Supplier. The Executive's role is to ensure that the Project is focused throughout its life cycle on achieving its objectives and delivering outputs that will contribute to higher level outcomes. The Executive should ensure that the Project gives value for money, ensuring a cost-conscious approach to the Project, balancing the demands of beneficiary and supplier.

The Senior Beneficiary: representatives of RPA will hold the role of Senior Beneficiary. The Senior Beneficiary is responsible for validating the needs and for monitoring that the solution will meet those needs within the lifecycle of the Project. The Senior Beneficiary role represents the interests of all those who will benefit from the Project. The Senior Beneficiary role monitors progress against targets and quality criteria. The Implementing partners will certify the Combined Delivery Reports (CDRs) on annual basis following the annual calendar year (January-December) in line with Atlas CDR reports calendar.

Senior Supplier: Donor and representatives of UN agencies will hold the role of Senior Supplier. The Senior Supplier represents the interests of the parties which provide funding and/or technical expertise to the project (designing, developing, facilitating, procuring, implementing). The Senior Supplier's primary function within the Board is to provide guidance regarding the technical feasibility of the project. The Senior Supplier role must have the authority to commit or acquire supplier resources as required.

Quality Assurance: The Quality Assurance role supports the Executive Board and is assumed by the UNDP Project Manager, and by the Head of the UNDP Governance Unit. Together, they carry out objective and independent oversight and monitoring functions on behalf of the Board. This role ensures that appropriate Project management milestones are managed and completed.

The Project Board will specifically be responsible for the following:

- Meeting regularly to deliberate on the Project's progress and revising the Quarterly Progress Reports. The Project Board has a decision-making role within the Project and thus will deliver direction and recommendations to ensure that the agreed deliverables are produced satisfactorily in line with the Project Document. This also means that the Project Board can make changes to the Project based on the progress reports and recommendations from project staff and partners alike;
- Revising and assessing the detailed Project plan and Annual Work Plan, including Atlas reports covering activity definition, quality criteria, issue log, risk log and the monitoring and communication plan;
- Providing overall guidance and direction to the Project;
- Addressing any project-related issues as raised by the Project Manager;
- Providing guidance and agreeing on possible countermeasures/management actions to address specific risks;
- Agreeing on the Project Manager's milestones in the Annual Work Plan and quarterly plans when required:
- Reviewing Combined Delivery Reports (CDR) prior to certification by the Implementing Partner(s);
- Reviewing each of the Annual Work Plan upon completion, and approving continuation to the next AWP:
- Appraising the Project Annual Progress Report, and making recommendations for the next AWP;
- Providing ad-hoc direction and advice for exceptional situations when tolerances of parties are exceeded;
- Providing strategic orientation and recommendations to the Project manager and implementers;
- Ensuring full implementation of the Project and assuring that all Project deliverables have been produced satisfactorily by the end of the Project;
- Reviewing and approving the final Project report, including lessons learnt;
- Commissioning a Project evaluation (based on a consensus by the Project Board).

IX. LEGAL CONTEXT AND RISK MANAGEMENT Legal Context

This project document shall be the instrument referred to as such in Article 1 of the Standard Basic Assistance Agreement between the Government of Rwanda and UNDP, signed on 02/02/1977. All references in the SBAA to "Executing Agency" shall be deemed to refer to "Implementing Partner."

This project will be implemented by the Ministry of Defense in accordance with its financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of an Implementing Partner does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, the financial governance of UNDP shall apply.

Risk Management

- 1. Consistent with the Article III of the SBAA [or the Supplemental Provisions to the Project Document], the responsibility for the safety and security of the Implementing Partner and its personnel and property, and of UNDP's property in the Implementing Partner's custody, rests with the Implementing Partner. To this end, the Implementing Partner shall:
 - a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
 - b) assume all risks and liabilities related to the Implementing Partner's security, and the full implementation of the security plan.
- 2. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the Implementing Partner's obligations under this Project Document.
- 3. The Implementing Partner agrees to undertake all reasonable efforts to ensure that no UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via http://www.un.org/sc/committees/1267/ag sanctions list.shtml.
- 4. The Implementing Partner acknowledges and agrees that UNDP will not tolerate sexual harassment and sexual exploitation and abuse of anyone by the Implementing Partner, and each of its responsible parties, their respective sub-recipients and other entities involved in Project implementation, either as contractors or subcontractors and their personnel, and any individuals performing services for them under the Project Document.
 - (a) In the implementation of the activities under this Project Document, the Implementing Partner, and each of its sub-parties referred to above, shall comply with the standards of conduct set forth in the Secretary General's Bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse" ("SEA").
 - (b) Moreover, and without limitation to the application of other regulations, rules, policies and procedures bearing upon the performance of the activities under this Project Document, in the implementation of activities, the Implementing Partner, and each of its sub-parties referred to

above, shall not engage in any form of sexual harassment ("SH"). SH is defined as any unwelcome conduct of a sexual nature that might reasonably be expected or be perceived to cause offense or humiliation, when such conduct interferes with work, is made a condition of employment or creates an intimidating, hostile or offensive work environment.

- 5. a) In the performance of the activities under this Project Document, the Implementing Partner shall (with respect to its own activities), and shall require from its sub-parties referred to in paragraph 4 (with respect to their activities) that they, have minimum standards and procedures in place, or a plan to develop and/or improve such standards and procedures in order to be able to take effective preventive and investigative action. These should include: policies on sexual harassment and sexual exploitation and abuse; policies on whistleblowing/protection against retaliation; and complaints, disciplinary and investigative mechanisms. In line with this, the Implementing Partner will and will require that such sub-parties will take all appropriate measures to:
 - i. Prevent its employees, agents or any other persons engaged to perform any services under this Project Document, from engaging in SH or SEA;
 - ii. Offer employees and associated personnel training on prevention and response to SH and SEA, where the Implementing Partner and its sub-parties referred to in paragraph 4 have not put in place its own training regarding the prevention of SH and SEA, the Implementing Partner and its sub-parties may use the training material available at UNDP;
 - iii. Report and monitor allegations of SH and SEA of which the Implementing Partner and its sub-parties referred to in paragraph 4 have been informed or have otherwise become aware, and status thereof;
 - iv. Refer victims/survivors of SH and SEA to safe and confidential victim assistance; and
 - v. Promptly and confidentially record and investigate any allegations credible enough to warrant an investigation of SH or SEA. The Implementing Partner shall advise UNDP of any such allegations received and investigations being conducted by itself or any of its sub-parties referred to in paragraph 4 with respect to their activities under the Project Document, and shall keep UNDP informed during the investigation by it or any of such sub-parties, to the extent that such notification (i) does not jeopardize the conduct of the investigation, including but not limited to the safety or security of persons, and/or (ii) is not in contravention of any laws applicable to it. Following the investigation, the Implementing Partner shall advise UNDP of any actions taken by it or any of the other entities further to the investigation.
 - b) The Implementing Partner shall establish that it has complied with the foregoing, to the satisfaction of UNDP, when requested by UNDP or any party acting on its behalf to provide such confirmation. Failure of the Implementing Partner, and each of its sub-parties referred to in paragraph 4, to comply of the foregoing, as determined by UNDP, shall be considered grounds for suspension or termination of the Project.
- Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (http://www.undp.org/ses) and related Accountability Mechanism (http://www.undp.org/secu-srm).
- 7. The Implementing Partner shall: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through

the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.

- 8. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.
- 9. The Implementing Partner will take appropriate steps to prevent misuse of funds, fraud or corruption, by its officials, consultants, responsible parties, subcontractors and sub-recipients in implementing the project or using UNDP funds. The Implementing Partner will ensure that its financial management, anti-corruption and anti-fraud policies are in place and enforced for all funding received from or through UNDP.
- 10. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to the Implementing Partner: (a)UNDP Policy on Fraud and other Corrupt Practices and (b)UNDP Office of Audit and Investigations Investigation Guidelines. The Implementing Partner agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at www.undp.org.
- 11. In the event that an investigation is required, UNDP has the obligation to conduct investigations relating to any aspect of UNDP projects and programmes in accordance with UNDP's regulations, rules, policies and procedures. The Implementing Partner shall provide its full cooperation, including making available personnel, relevant documentation, and granting access to the Implementing Partner's(and its consultants', responsible parties', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with the Implementing Partner to find a solution.
- 12. The signatories to this Project Document will promptly inform one another in case of any incidence of inappropriate use of funds, or credible allegation of fraud or corruption with due confidentiality.

Where the Implementing Partner becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, the Implementing Partner will inform the UNDP Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). The Implementing Partner shall provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.

13. **Option 1:** UNDP shall be entitled to a refund from the Implementing Partner of any funds provided that have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of the Project Document. Such amount may be deducted by UNDP from any payment due to the Implementing Partner under this or any other agreement. Recovery of such amount by UNDP shall not diminish or curtail the Implementing Partner's obligations under this Project Document.

Where such funds have not been refunded to UNDP, the Implementing Partner agrees that donors to UNDP (including the Government) whose funding is the source, in whole or in part, of the funds for the activities under this Project Document, may seek recourse to the Implementing Partner for the recovery of any funds determined by UNDP to have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of the Project Document.

- *Note:* The term "Project Document" as used in this clause shall be deemed to include any relevant subsidiary agreement further to the Project Document, including those with responsible parties, subcontractors and sub-recipients.
- 14. Each contract issued by the Implementing Partner in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from the Implementing Partner shall cooperate with any and all investigations and post-payment audits.
- 15. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.
- 16. The Implementing Partner shall ensure that all of its obligations set forth under this section entitled "Risk Management" are passed on to each responsible party, subcontractor and subrecipient and that all the clauses under this section entitled "Risk Management Standard Clauses" are included, *mutatis mutandis*, in all sub-contracts or sub-agreements entered into further to this Project Document.

| <u> </u> |
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| RISK |
| ANNEX: |

| Status | · · | | | |
|-----------------------------------|--|--|---|---|
| Last Sta Update | | | | |
| Submitted, updated by | | | | |
| Owner | Project analyst in charge of justice, human rights and peace consolidation | Project analyst in charge of justice, human rights and peace consolidation | Project analyst in charge of justice, human rights and peace consolidation | Project analyst |
| Countermeasures/ Mngt response | Regular monitoring by UNDP including field visits and coaching in project management | All procurement processes will be initiated in the first quarter of the project and they will be closely monitored. IN exceptional cases, UNDP procurement modalities will be used | Extensive analysis was done throughout the project formulation to collect the activity baselines which will be closely monitored. A Project monitoring framework was developed and will be tracked with disaggregated data on quarterly basis | Efforts will be made to regularily monitor the situation of Covid-19 and and ensured this is factored in the planning of project interevrntions |
| Impact & Probability | Delayed reporting could lead to delayed disbursement of funds and delayed activities which result in not respecting the project timeline | Delayed procurement either due to established rules or advertisement will affect the implementation timeline and cause low delivery of the project P = 3; I = 4 | This affect the quality assurance particularly in terms of ensuring that the UN programming principles of gender equality and accountability are considered | Delayed implementation of activities and limited participation of regional participants P = 3; I = 4 |
| Туре | Operational | Operational | Strategic | Operational |
| Date Identified | November 30 th , 2019 | November 30 th , 2019 | November 30 th , 2019 | March 18th, 2020 |
| Description | Late submissions of reports; | Delayed procurement | Lack of disaggregate d data | Impact of Covid-19 on project implemntatio n |
| 4. | | | | |